

**BEFORE THE IOWA BOARD OF MEDICINE**

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**IN THE MATTER OF THE STATEMENT OF CHARGES AGAINST**

**SANDRA R. ROSENFELD-O'TOOL, M.D., RESPONDENT**

**FILE No. 02-09-182**

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**TERMINATION ORDER**

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Date: October 29, 2010.

1. Respondent was issued Iowa medical license no. 32995 on June 21, 1999.
2. Respondent's Iowa medical license is active and will next expire on April 1, 2011.
3. The Board has jurisdiction pursuant to Iowa Code Chapters 147, 148 and 272C.
4. Respondent formerly practiced medicine at the University of Iowa Hospitals and Clinics in Iowa City, Iowa.
5. On April 9, 2010, the Respondent entered into a combined Statement of Charges and Settlement Agreement with the Board. The Board charged Respondent with engaging in unethical or unprofessional conduct when she inappropriately accessed the medical records of patients who were not under her care, in violation of patient privacy standards. Under the terms of the Settlement Agreement, Respondent was issued a Citation and Warning and ordered to pay a \$4,000 Civil Penalty and complete a Board-approved ethics program.

6. Respondent has successfully completed the terms of her Board order.
7. Recently, the Board voted to issue a termination order in this matter

**THEREFORE IT IS HEREBY ORDERED:** that the terms and conditions of Respondent's Board order are terminated and Respondent's Iowa medical license is returned to its full privileges, free and clear of all restrictions.

This Order is approved by the Board on October 29, 2010.



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Siros S. Shirazi, M.D., Chairman  
Iowa Board of Medical Examiners  
400 SW 8<sup>th</sup> Street, Suite C  
Des Moines, Iowa 50309-4686

BEFORE THE IOWA BOARD OF MEDICINE

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SANDRA R. ROSENFELD-O'TOOL, M.D., RESPONDENT

FILE No. 02-09-182

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STATEMENT OF CHARGES AND SETTLEMENT AGREEMENT

(Combined)

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COMES NOW the Iowa Board of Medicine (Board), and Sandra R. Rosenfeld-O'Tool, M.D., (Respondent), on April 9, 2010, and pursuant to Iowa Code sections 17A.10(2) and 272C.3(4), enter into this combined Statement of Charges and Settlement Agreement.

STATEMENT OF CHARGES

1. Respondent was issued Iowa medical license no. 32995 on June 21, 1999.
2. Respondent's Iowa medical license is active and will next expire on April 1, 2011.
3. The Board has jurisdiction pursuant to Iowa Code Chapters 147, 148 and 272C.

COUNT I

4. Respondent is charged pursuant to Iowa Code sections 147.55(3) and 272C.10(3) and 653 IAC sections 23.1(4) with engaging in unethical or unprofessional conduct. Engaging in unethical or unprofessional conduct includes, but is not limited to, the committing by a licensee of an act contrary to honesty, justice or good morals, whether the same is committed in the course of the licensee's practice or otherwise and whether committed within this state or elsewhere.

*STATEMENT OF MATTERS ASSERTED*

5. Respondent practices medicine at the University of Iowa Hospitals and Clinics in Iowa City, Iowa.
6. The Board alleges that Respondent inappropriately accessed the medical records of patients who were not under her care, in violation of patient privacy standards.

*SETTLEMENT AGREEMENT*

7. *CITATION AND WARNING:* Respondent is hereby *CITED* for accessing the medical records of patients who were not under her care, in violation of the laws and rules governing the practice of medicine in Iowa. Respondent is hereby *WARNED* that violating the laws and rules governing the practice of medicine in Iowa in the future may result in further disciplinary action, including suspension or revocation of her Iowa medical license.

8. *CIVIL PENALTY:* Respondent shall be assessed a *\$4,000* civil penalty. The civil penalty shall be paid within twenty (20) days of the date of this Order. The civil penalty shall be payable to the Treasurer of Iowa, shall be mailed to the executive director of the Board and shall be deposited into the State General Fund.

9. *ETHICS PROGRAM:* Respondent shall successfully complete the Professional/Problem Based Ethics (PROBE) program sponsored by the Ethics Group, LLC, of Summit, New Jersey,

within sixty (60) days of the date of this Order. Respondent shall cause a report to be sent the Board directly from PROBE at the conclusion of the program. Respondent is responsible for all costs associated with the ethics program.

10. Respondent voluntarily submits this Order to the Board for consideration.

11. This Order constitutes the resolution of a contested case proceeding.

12. In the event Respondent violates or fails to comply with any of the terms or conditions of this Order, the Board may initiate action to suspend or revoke Respondent's Iowa medical license or to impose other license discipline as authorized in Iowa Code Chapters 148 and 272 and 653 IAC 12.2.

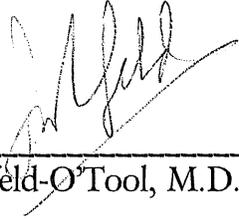
13. By entering into this Order, Respondent voluntarily waives any rights to a contested case hearing on the allegations contained in the Statement of Charges and waives any objections to the terms of this Order.

14. Respondent shall obey all federal, state and local laws, and all rules governing the practice of medicine in Iowa.

15. Respondent understands that by entering into this combined Statement of Charges and Settlement Agreement, Respondent cannot obtain a copy of the investigative file. Pursuant to Iowa Code section 272C.6(4), a copy of the investigative file may only be provided to a licensee after a Statement of Charges is filed but before the final resolution of those charges.

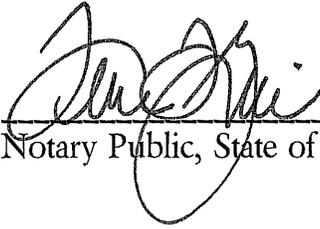
16. This Order is subject to approval by the Board. If the Board fails to approve this Order, it shall be of no force or effect to either party.

17. The Board's approval of this Order shall constitute a *Final Order* of the Board.



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Sandra R. Rosenfeld-O'Tool, M.D., Respondent

Subscribed and sworn to before me on February 24, 2010.



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Notary Public, State of Iowa

This Order is approved by the Board on April 9, 2010.



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Siroos S. Shirazi, M.D., Chairman  
Iowa Board of Medicine  
400 SW 8<sup>th</sup> Street, Suite C  
Des Moines, Iowa 50309-4686